

4-50.01

PTO/SB/05(11-00)

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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	56110.US
First Named Inventor	Jason N. Morgan
Title	APPARATUS AND METHOD FOR ADAPTIVELY ADJUSTING A TENSIONING LOOP
Express Mail Label No.	EL 447 446 661 US

## APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- |   |                                     |   |                  |
|---|-------------------------------------|---|------------------|
| 1 | <input checked="" type="checkbox"/> | Fee Transmittal Form (e.g. PTO/SB/17)<br>(Submit an original and a duplicate for fee processing)  |                  |
| 2 | <input type="checkbox"/>            | Applicant claims small entity status  |                  |
| 3 | <input checked="" type="checkbox"/> | Specification   | [Total Pages 21] |
| 4 | <input checked="" type="checkbox"/> | Drawing(s) (35 U.S.C 113)   | [Total Sheets 7] |
| 5 |                                     | Oath or Declaration   | [Total Pages 2]  |
|   | a.                                  | <input checked="" type="checkbox"/> Newly executed (original or copy)   |                  |
|   | b.                                  | <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))   |                  |
|   | i.                                  | <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b) |                  |
| 6 | <input type="checkbox"/>            | Application Data Sheet. See 37 C.F.R. 1.76  |                  |
| 7 | <input type="checkbox"/>            | CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)   |                  |
| 8 |                                     | Nucleotide and/or Amino Acid Sequence Submission<br>(if applicable, all necessary)  |                  |
|   | a.                                  | <input type="checkbox"/> Computer Readable Form (CRF)   |                  |
|   | b.                                  | Specification Sequence Listing on:<br>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or<br>ii. <input type="checkbox"/> paper                                       |                  |
|   | c.                                  | <input type="checkbox"/> Statement verifying identity of above copies   |                  |

## ACCOMPANYING APPLICATION PARTS

- |    |                                     |  |  |
|----|-------------------------------------|--|--|
| 9  | <input checked="" type="checkbox"/> | Assignment Papers (cover sheet & document(s))  |  |
| 10 | <input type="checkbox"/>            | 37 CFR 3.73(b) Statement<br>(when there is an assignee)  | <input type="checkbox"/> Power of Attorney       |
| 11 | <input type="checkbox"/>            | English Translation Document (if applicable)   |  |
| 12 | <input type="checkbox"/>            | Information Disclosure Statement (IDS)/PTO-1449  | <input type="checkbox"/> Copies of IDS Citations |
| 13 | <input type="checkbox"/>            | Preliminary Amendment  |  |
| 14 | <input checked="" type="checkbox"/> | Return Receipt Postcard (MPEP 503)<br>(Should be specifically itemized)  |  |
| 15 | <input type="checkbox"/>            | Certified Copy of Priority Document(s)<br>(if foreign priority is claimed)   |  |
| 16 | <input type="checkbox"/>            | Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i)<br>Applicant must attach form PTO/SB/35 or its equivalent |  |
| 17 | <input type="checkbox"/>            | Other:   |  |

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner

Group / Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

Customer No. 000408 or Bar Code Label (Insert Customer No. or Attach bar code label here) or Correspondence address below

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Andrew S. Neely

Registration No. (Attorney)

28,979

Signature

Date

April 27, 2001

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.  
Small Entity payments *must* be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ ) 928

Complete If Known

Application Number  
Filing Date April 27, 2001  
First Named Inventor Jason N. Morgan  
Examiner Name  
Group / Art Unit  
Attorney Docket No. 56110.US

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account No. 12-2355  
Deposit Account Name:  
LUEDEKA, NEELY & GRAHAM

☒ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17.

2. ☒ Payment Enclosed: Check No. 44040

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility filing fee	710
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					(\$ ) 710

2. EXTRA CLAIM FEES

Total Claims	21 - 20** = 1	X	18	=	18
Independent Claims	5 - 3** = 2	X	80	=	160
Multiple Dependent Claims		X		=	

\*\*or number previously paid, if greater.

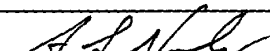
Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim	
SUBTOTAL (2)					(\$ ) 178

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions relating to provisional applications	
126	240	126	240	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	760	246	380	Filing a submission after final rejection (37 CFR 1.129(a))	
Other fee (specify)					
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					(\$ ) 40

## SUBMITTED BY

Typed or Printed Name Andrew S. Neely Registration No. 28,979  
Signature  Date April 27, 2001